

**PRV**PATENT- OCH REGISTRERINGSVERKET  
Patentavdelningen

REC'D 10 APR 2003

WIPO

PCT

**Intyg  
Certificate**

Härmed intygas att bifogade kopior överensstämmer med de handlingar som ursprungligen ingivits till Patent- och registreringsverket i nedannämnda ansökan.

Ansökan ingavs ursprungligen på engelska.

This is to certify that the annexed is a true copy of the documents as originally filed with the Patent- and Registration Office in connection with the following patent application.

The application was originally filed in English.

(71) Sökande                      AstraZeneca AB, Södertälje SE  
Applicant (s)

(21) Patentansökningsnummer    0200782-1  
Patent application number

(86) Ingivningsdatum                      2002-03-14  
Date of filing

**PRIORITY  
DOCUMENT**

SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

Stockholm, 2003-02-19

För Patent- och registreringsverket  
For the Patent- and Registration Office

*Kerstin Gerdén*  
Kerstin Gerdén

Avgift  
Fee                      170:-



## METHOD OF ANALYSING A PHARMACEUTICAL SAMPLE

### Field of the Invention

The present invention relates to a method for analysing a pharmaceutical sample, e.g. a  
5 tablet, a granule, an encapsulated pellet, a powder, a capsule, a multiple unit pellet system  
(MUPS) or a similar sample forming a pharmaceutical dose or a sub-fraction of a dose.

### Background of the invention

Optical measurements are becoming increasingly important for analysis within the  
10 pharmaceutical industry. Spectroscopy offers the obvious advantages of fast, non-  
destructive, non-invasive and flexible methods well applicable for analysis near or in the  
production line. In this context, near-infrared (NIR) spectroscopy is a well-established  
technique for qualitative and quantitative analysis of the active component and excipients  
in many different products. In parallel, spectroscopic techniques for measuring structural  
15 parameters of pharmaceuticals have been developed; in particular light scattering methods  
are well known techniques for determination of particle size distribution of powders and  
solutions. However, determination of physico mechanical parameters of a solid or semi-  
solid sample is more complex than analysis of chemical content. In fact, for most such  
physical parameters there is a lack of relevant measurement techniques. For instance, a  
20 dissolution testing of a tablet may show that the active component is released too slowly.  
However, dissolution testing is a technique that measures indirect effects of a deviating  
sample batch rather than probing the physico-mechanical parameters that are the primary  
cause of the deviation.

25 The article "Analysis of gas dispersed in scattering media" from M. Sjöholm et al, Optics  
Letter, Vol. 26, No.1 describes how free gas dispersed in scattering materials can be  
detected and characterised by use of diode laser spectroscopy. Gas detection is made  
possible by the contrast of the narrow absorptive feature of free-gas molecules as opposed  
to the small wavelength dependence of the absorption and scattering cross sections in

solids and liquids. This method is, however, capable of providing information only regarding the amount of gas, i.e. free oxygen contained in the scattering medium.

### Summary of the Invention

5 It is an aim of the present invention to provide a method for analysing a pharmaceutical sample, which is capable of providing information as to at least one solid state parameter of the sample.

According to a first aspect of the invention there is provided a method for analysing the  
10 amount of free gas within a pharmaceutical sample. According to the invention the method comprises the following steps:

- providing a sample before an irradiating source,
- irradiating the sample with at least one beam of electromagnetic radiation,
- detecting radiation emitted from the sample and generating signals corresponding to the  
15 amount of free gas in the sample, and,
- correlating the generated signals to at least one solid state parameter of the sample.

By measuring the content of free gas in solid samples, a correlation to solid state parameters can be achieved. Since the amount of free gas in a sample correlates with the  
20 intraparticle as well as the interparticle void volume of the sample, an indirect quantitative estimation of specific solid state parameters can be attained.

A solid state parameter relates to both chemical and physical properties of the sample. A pharmaceutical sample consists of a raw material or of a compressed or uncompressed  
25 blend of pharmaceutical raw materials. By analysing the sample, information about its chemical as well as its physical parameters may be attained. The meaning of chemical parameters is concentrations of the different components and their distribution within the sample, such as the content of the active substance in a tablet. By physical parameters, on the other hand, is meant the structure, the distribution, the size, the form, the density, the  
30 morphology of a sample, particles within the sample, or cavities within the sample. It can

also be parameters related to dynamic properties such as heat conduction or gas diffusion within the sample. Thus, the solid state parameters can be divided into static and dynamic solid state parameters.

5 For example, the solid state parameter may represent the diffusivity of a gas in a sample, the hardness of a sample, the disintegration ability of a sample, the dissolution ability of a sample, the compressibility of a sample, the aggregation properties of the sample or the flowability of a sample.

10 One way of performing this measurement is to use an absorption technique, such as wavelength modulation spectroscopy. The wavelength of a light source, preferably a diode laser, is scanned in time such that the wavelength is shifted back and forth across a narrow wavelength region, which includes the absorption wavelength of the free gas to be detected. If the scattering medium contains free gas molecules, these will absorb the  
15 radiation in a very narrow wavelength region, giving rise to a tiny, but sharp absorption feature in the intensity of the recorded diffusely scattered light. According to the present invention the free gas is preferably oxygen, carbondioxide or water vapour.

In order to increase the detection sensitivity a modulation current of high frequency is  
20 superimposed on the drive current to the diode laser and the detector signal is picked up phase-sensitively by a lock-in amplifier. The resulting wavelength modulation signal is typically several orders of magnitude larger than that of direct absorption. If the detection is performed at the same frequency or at some harmonic a very sensitive detection is reached. This arrangement can be performed in transmission mode or in reflection mode  
25 depending on how the detector is oriented in relation to the light delivery system. Thus, the radiation emitted from the sample may comprise transmitted radiation as well as reflected radiation.

In a first embodiment, the radiation irradiating the sample comprises infrared radiation.  
30 Preferably, the infrared radiation is in the near infrared (NIR) spectral region.

More preferably, the radiation has a frequency in the range corresponding to wavelengths of from about 700 to about 2100 nm, particularly from 700 to 1300 nm.

5 In another embodiment the radiation irradiating the sample comprises visible light.

In still another embodiment the radiation irradiating the sample comprises UV radiation.

The sample to be analysed is a pharmaceutical sample, preferably a solid sample and in  
10 particular a tablet, a granule, an encapsulated pellet, a capsule, a bulk powder or an equivalent pharmaceutical dose or fraction of a dose.

Using optical methods for the measurement of free gas in a sample, i.e. air gives several advantages over traditional methods. First, for solid turbid media the light scatters around  
15 inside samples in such a way that the entire sample volume is measured. Secondly, optical methods can be used for both large air cavities and for extremely small air micro cavities. Thirdly, using spectroscopic methods fast measurements directly in the production line is possible, either at-line, on-line or in-line. This can be used to generate data for feedback to control the process to obtain precise predetermined product characteristics. Further, this  
20 can also be performed at multiple stages within the production line so that not only the end product, such as tablets, is characterised but also raw materials, powders, pellets or granules can be characterised. The latter characterisation can provide an indicator for the success of the following production steps such as tableting.

25 The technique of measuring sample gas concentrations with optical spectroscopy and relating that to physical properties of the sample can be used in several manufacturing steps of pharmaceuticals. By measuring the amount of dispersed gas in tablets an indirect correlation to tablet hardness can be reached. This is based on the assumption that the more micro cavities within the sample the higher is the probability of a crack to develop in the  
30 tablet.

Measurements of certain solid state parameters, for example tablet hardness are a requirement in manufacturing of pharmaceutical tablets. The tablet hardness in turn affects tablets disintegration properties and release of the active substance *in vivo*. Hardness  
5 measurements are conventionally performed by applying a mechanical force across the tablet by means of two metal legs. As the mechanical force is gradually increased, the tablet breaks at a certain force, which provides a reading of the tablet hardness. This analysis suffers from poor accuracy and precision due to inherent in-homogeneities and microscopic cracks within tablets. In addition, conventional methods for assessing  
10 hardness applies the mechanical force in different ways, for example using constant speed or constant force. Thereby different results are obtained for the sample. Furthermore, the analysis requires that tablets are sampled from a production stream and analysed off-line. An optical method, on the other hand, is in general both fast and can be applied within the production line and can also be fairly accurate.

15

Tablet hardness can according to this invention be related to the amount of encapsulated air within tablets. The harder the tablet matrix is pressed together, the lower amount of air will reside in the tablet. The amount of air within a turbid medium can be determined by measuring the content of molecular oxygen in the sample. Since normal air contains about  
20 21% oxygen, the oxygen measurement may give an indirect quantitative estimation of the tablet hardness.

Measurements performed according to the invention on powders, granules or pellets during or after compression can be used to assess the viscoelastic characteristics of  
25 pharmaceutical compacts. For example, by comparing the difference in molecular oxygen in the pharmaceutical compact during compression with that after decompression deformation properties or elasticity of a sample can be monitored.

In another embodiment of the invention the measurement is performed on water vapour rather than on oxygen. By measuring the water vapour content of samples a correlation to the contained moisture within the sample can be attained.

- 5 Yet another application is where the invention is used for powder measurements to assess the structure of agglomerates. In this way their bulk properties such as deformation and fracture can be predicted. Because the invention can be applied in situ, for example by conducting measurements in a process vessel, precise control can be obtained in unit operations such as granulation, drying, compaction and transport.

10

Another application for the present invention is prediction of disintegration/dissolution testing. Pharmaceutical tablets are tested for their dissolution properties in a liquid medium. The conventional rational include putting the tablets in glass vessels filled with heated dissolution medium under agitation of paddles and sampling aliquots of the solution  
15 at pre-determined times. The time of an analysis typically ranges between 15 minutes to 24 hours. There is a correlation between the dissolution properties of a sample and its degree of packing, which in turn can be measured with diode laser spectroscopy using the claimed method.

- 20 As spectroscopic techniques are fairly fast, dynamic events can be monitored. One such mechanism is diffusion through solid samples. Some pharmaceutical solids, in particular pellets, are built from sub-layers, each layer having a particular property. As an example, an acid-resistant film may be the outer coating on pellets to prevent the pellets from disintegrate already in the upper part of the stomach. Diode laser spectroscopy offers an  
25 alternative method of estimating diffusion across coatings. This can be done by pre-conditioning samples in a nitrogen atmosphere and recording the subsequent diffusion of oxygen into the sample after placing them in normal atmosphere again. The amount of free gas within the sample as a function of time measured by diode laser spectroscopy is correlated with the dynamics of oxygen diffusion. Alternatively the experiment is

performed in reversed order starting from a normal atmosphere and following the diffusive exchange of oxygen with nitrogen within the sample.

Physico-mechanical properties of powders may also be assessed by dynamic  
5 measurements. A signature of the powders dynamics regarding flowability and packing can be obtained from monitoring a series of consecutive measurements where the sample particles are rearranged continuously by tumbling, mixing or any other particle motion.

#### Description of the drawings

10 Figure 1 illustrates the equipment to carry out the method according to the invention.

Figures 2a-c show three raw spectra illustrating the oxygen concentration in a sample wherein the light absorption is shown as a function of frequency for a) a blank sample; b) a sample from a first batch, and c) a sample from a second batch.

15

Figure 3 illustrates the hardness of a number of samples from two different batches, batch A and batch B, as a function of light absorption.

Figure 4 illustrates the equipment to carry out measurements according to the invention  
20 during compaction of powders, i.e. a tableting process.

#### Description of preferred embodiments

One way of performing the measurement according to the present invention is to use an absorption technique, such as wavelength modulation spectroscopy. With this technique  
25 the wavelength of a light source, in this case a diode laser is scanned in time such that the wavelength is shifted back and forth across a small wavelength region, which includes the absorption wavelength of a free gas, i.e. oxygen. The diode laser is furthermore modulated at a high frequency and a very sensitive detection at the same frequency or at some harmonic, referred to as lock-in detection, is reached. This arrangement can be performed



in a transmission mode or in reflection mode depending on how the detector is oriented in relation to the light delivery system.

The measurements of free gas content can be realised in different ways. The use of wavelength modulation diode laser spectroscopy is convenient because of the small size and low cost of these lasers. Together with lock-in technique it constitutes a compact and robust system. Both reflectance and transmission geometries can be employed. The experimental set-up used to prove the concept of tablet hardness measurements with transmission-mode wavelength modulation spectroscopy is shown in Figure 1. A tuneable diode laser 2 with a nominal wavelength of 757 nm together with a focusing lens 4 was positioned inside a chamber 6. The chamber was flushed with nitrogen gas to avoid extra oxygen within the optical path. The diode laser was 2 controlled by a laser driver 8 and the wavelength was tuned by applying a current ramp at 4 Hz. The drive current for the diode laser was mixed with a 55 kHz sinusoidal current component for lock-in amplification. The output light of the diode laser was guided through an optical fibre 10 and collimated by a collimator 12 before being directed to the sample 14. Also the air gap between the distal end of the fibre 10 and the collimator lens was flushed with nitrogen gas. As an alternative, the sample holder (not shown) can be designed to minimise the optical path length through open air, making the nitrogen flow unnecessary. The light transmitted through the sample 14 was collected by a detector 16, in this case a photo multiplier with an optical cut-off filter 18 in front to remove light that did not originate from the laser and was not transmitted through the sample. The signal from the photo multiplier was fed to a lock-in amplifier 20 for phase-sensitive detection of the transmitted light. The extracted second-harmonic component and the direct signal from the photo multiplier 16 were accumulated in a digital oscilloscope 22.

The sample 14 was placed in a sample holder (not shown) between the collimator 12 and the detector 16 for measurements to mask off any stray light not going through the sample. Several samples were measured and after that the tablet hardness was measured with a conventional instrument. A blank spectrum of an empty sample holder was measured for

comparison. For the first sample the signal was measured for several collimator-detector distances and the standard-addition approach was utilised to achieve absolute measures of the amount of oxygen within the detection path.

5    Figures 2a-2c show raw spectra of the oxygen concentration in a sample as a function of frequency. Figure 2a is an example of wavelength modulation signals of a blank (no sample), and Figure 2b and 2c show signals from two different samples, i.e. tablets from batch A and batch B respectively. The oxygen peak for each sample can be seen at approximately the frequency 0.1 in arbitrary units (a.u.).

10

In Figure 3, a correlation plot for a number of tablets from the two different batches, batch A and batch B, shows the tablet hardness (kP) measured with a conventional method as a function of the absorption signal (a.u.) measured with the new method. As can be seen there is a correlation between the conventional and the novel measuring technique.

15

In Figure 4 is shown an example where the invention and measurement system described in Figure 1 is applied to monitor changes in a bulk powder sample 14 during compaction. The light guides 10 are here arranged to illuminate the sample within the compaction equipment comprising a die 28 and a punch 30. This measurement can be performed in situ  
20    in a tableting machine, thereby enabling in-line measurements in the manufacturing process. This can also be performed in a test system at-line from the process. In both cases, generated data can be used to predict physico-mechanical properties of the samples that can be used as feedback control data in the process to obtain pre-determined product characteristics.

25

**CLAIMS**

1. A method for analysing the amount of free gas within a pharmaceutical sample, comprising the steps of:
  - providing a sample (14) before an irradiating source (2, 10, 12),
  - irradiating the sample with at least one beam of electromagnetic radiation,
  - detecting radiation emitted from the sample and generating signals corresponding to the amount of free gas in the sample, and,
  - correlating the generated signals to at least one solid state parameter of the sample.
2. Method according to claim 1 wherein the emitted radiation comprises transmitted radiation from the sample.
3. Method according to claim 1 wherein the emitted radiation comprises reflected radiation from the sample.
4. Method according to claim 1 wherein the emitted radiation comprises transmitted radiation as well as reflected radiation from the sample.
5. Method according to any of claims 1-4 wherein the free gas is oxygen.
6. Method according to any of claims 1-4 wherein the free gas is carbon dioxide.
7. Method according to any of claims 1-4 wherein the free gas is water vapour.
8. Method according to any of claims 1-7 comprising the further step of detecting radiation emitted as a function of time wherein the solid state parameter represents the diffusivity of a gas in a sample.

9. Method according to any of claims 1-7 wherein the solid state parameter represents the hardness of the sample.

10. Method according to any of claims 1-7 wherein the solid state parameter represents the disintegration ability of the sample.

11. Method according to any of claims 1-7 wherein the solid state parameter represents the dissolution ability of the sample.

12. Method according to any of claims 1-7 wherein the solid state parameter represents the flowability of the sample.

13. Method according to any of claims 1-7 wherein the solid state parameter represents the aggregation properties of the sample.

14. Method according to any of claims 1-13 wherein the pharmaceutical sample is a solid sample, in particular a tablet, a granule, a capsule, a bulk powder or an equivalent pharmaceutical dose.

15. Method according to claim 14 wherein the pharmaceutical sample is positioned inside a blister of a blister pack.

16. Method according to any of claims 1-15 wherein the radiation irradiating the sample comprises infrared (IR) radiation.

17. Method according to claim 16 wherein the IR radiation is in the near infrared (NIR) radiation.

18. Method according to any of claims 1-15 wherein the radiation has a frequency in the range corresponding to wavelengths of from about 700 to about 2100 nm, particularly from 700 to 1300 nm.

5 19. Method according to any of claims 1-15 wherein the radiation irradiating the sample comprises visible light.

20. Method according to any of claims 1-15 wherein the radiation irradiating the sample comprises UV radiation.

10

21. Method according to any of claims 1-20 wherein the irradiating source is represented by at least one diode laser (2).

15

22. Method according to claim any of claims 1-20 wherein the radiation is detected by a photo multiplier (16).

23. Method according to any of claims 1-20 wherein the radiation is detected by a photo diode (16).

20

24. Method according to any of claims 1-23 wherein the analysis is conducted in a manufacturing area at-line.

25. Method according to any of claims 1-23 wherein the analysis is conducted in a manufacturing area on-line.

25

26. Method according to any of claims 1-23 wherein the analysis is conducted in-line in a manufacturing process vessel.

27. Method according to any of the preceding claims wherein the amount of free gas analysed for a pharmaceutical sample is used as feedback control data in a manufacturing process in order to obtain predetermined physico-mechanical characteristics of the manufactured product.

**ABSTRACT**

The present invention relates to a method for analysing the amount of free gas within a pharmaceutical sample. According to the invention the method comprises the following

5 steps:

- providing a sample before an irradiating source,
- irradiating the sample with at least one beam of electromagnetic radiation,
- detecting radiation emitted through the sample and generating signals corresponding to the amount of free gas in the sample, and,
- 10 - correlating the generated signals to at least one solid state parameter of the sample.

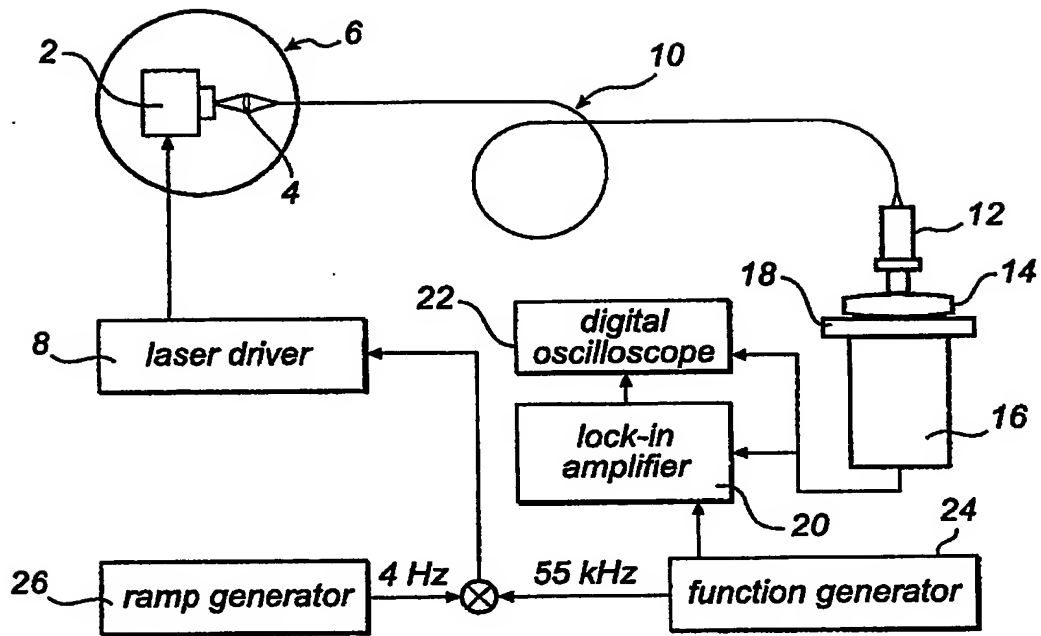


Fig. 1



2/3

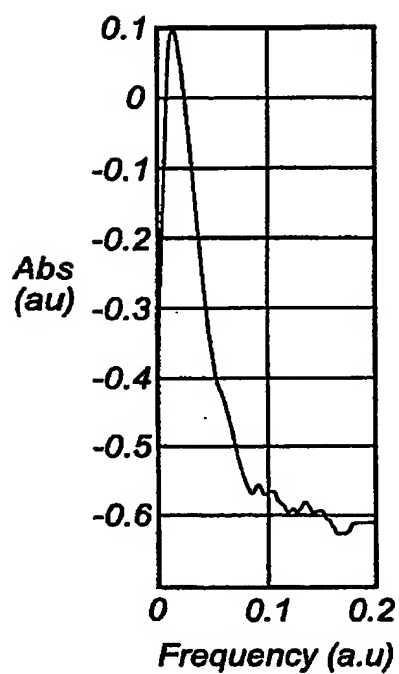


Fig. 2a

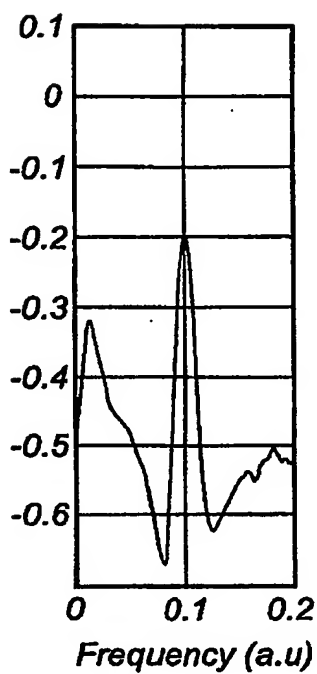


Fig. 2b

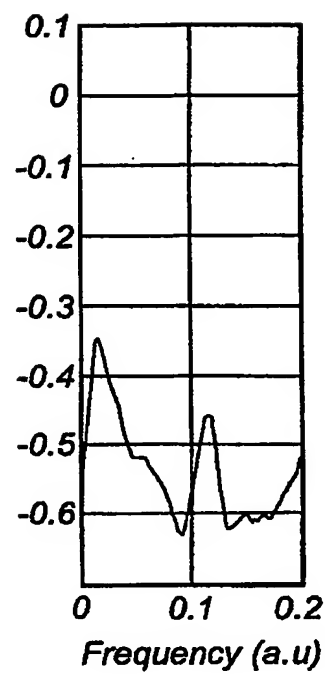


Fig. 2c

3/3

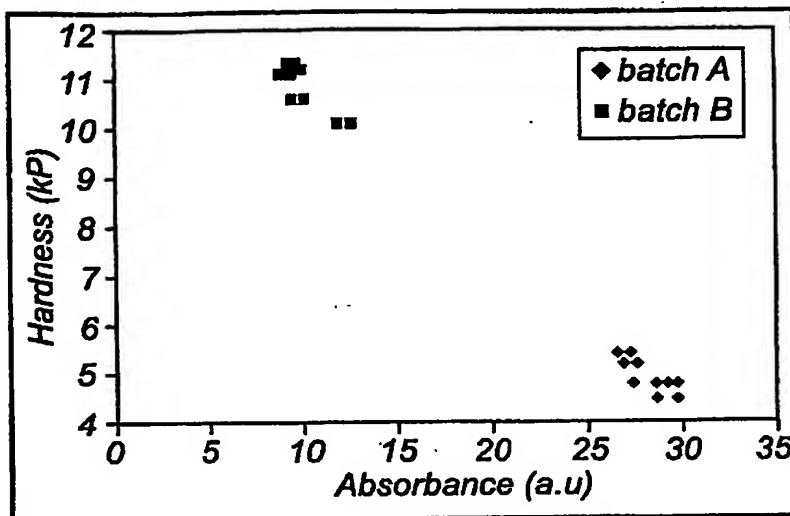


Fig. 3

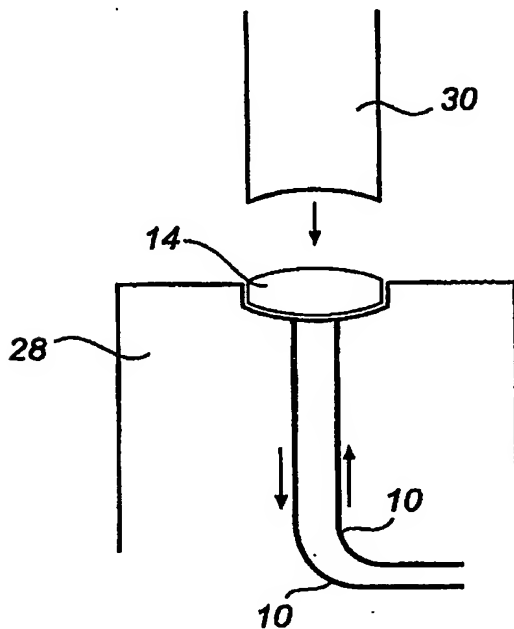


Fig. 4